

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 220103US2

First Inventor or Application Identifier Takuro SEKIYA, et al.

Title SURFACE-EMISSION LASER DIODE OPERABLE IN THE WAVELENGTH BAND OF 1.1-1.7μm AND OPTICAL TELECOMMUNICATION SYSTEM USING SUCH A LASER DIODE

Assignee Name:  
Assignee Address:

10/08/2002  
US PRO  
02/26/02

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1.  Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

J-1051 U.S. PTO

Specification Total Sheets 364

Drawing(s) (35 U.S.C. 113) Total Sheets 77

Oath or Declaration Total Pages [ ]  
 a.  Newly executed (original or copy)  
 b.  Copy from a prior application (37 C.F.R. §1.63(d))  
*(for continuation/divisional with box 17 completed)*  
 i.  DELETION OF INVENTOR(S)  
 Signed statement attached deleting inventor(s) named in  
 the prior application, see 37 C.F.R. §1.63(d)(2) and  
 1.33(b).

5.  CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)

6.  Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*  
 a.  Computer Readable Form (CRF)  
 b. Specification or Sequence Listing on :  
 i.  CD-ROM or CD-R (2 copies); or  
 ii.  Paper  
 c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

7.  Assignment Papers (cover sheet & document(s))  
 8.  Application Data Sheet. See 37 CFR 1.76  
 9.  37 C.F.R. §3.73(b) Statement  Power of Attorney  
 10.  English Translation Document (*if applicable*)  
 11.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS  
 12.  Preliminary Amendment  
 13.  White Advance Serial No. Postcard  
 14.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*  
 15.  Applicant claims small entity status.  
*See 37 CFR 1.27*  
 16.  Other: List of Related Cases

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

Continuation  Divisional  Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner: Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts

18. Amend the specification by inserting before the first line the sentence:

This application is a  Continuation  Division  Continuation-in-part (CIP)  
 of application Serial No. Filed on  
 Which was published in English  
 Which was not published in English  
 This application claims priority of provisional application Serial No. Filed

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Docket No. 220103US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Takuro SEKIYA, et al.

SERIAL NO: NEW APPLICATION

FILING DATE: HEREWITH

FOR: SURFACE-EMISSION LASER DIODE OPERABLE IN THE WAVELENGTH BAND OF 1.1-1.7 $\mu$ m  
AND OPTICAL TELECOMMUNICATION SYSTEM USING SUCH A LASER DIODE

**FEES TRANSMITTAL**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	62 - 20 =	42	$\times$ \$18 =	\$756.00
INDEPENDENT CLAIMS	32 - 3 =	29	$\times$ \$84 =	\$2,436.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$280 =	\$0.00
<input checked="" type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$130.00
			BASIC FEE	\$740.00
			TOTAL OF ABOVE CALCULATIONS	\$4,062.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
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			TOTAL	\$4,062.00

Please charge Deposit Account No. 15-0030 in the amount of A duplicate copy of this sheet is enclosed.  
 A check in the amount of \$4,062.00 to cover the filing fee is enclosed.  
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Respectfully Submitted,

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